

Saigon Securities Incorporation

B01 - CTCK

INTERIM SEPARATE COMPREHENSIVE INCOME STATEMENT

(Circular No. 210/2014/TT-BTC dated 30 December 2014)

For the three-month period ended 30 June 2016

Currency: VND

Code	ITEMS	Notes	Q2		Accumulated	
			Current year	Prior year	Current year	Prior year
	I. Operating revenue					
01	1. Income from financial assets at fair value through profit and loss (FVTPL)		255,828,240,115	302,531,050,441	308,280,734,868	337,904,982,867
01.1	a. Income from sale of financial assets at fair value through profit and loss		170,094,540,475	17,090,097,338	208,648,677,779	44,732,296,396
01.2	b. Increase in revaluation of financial assets at fair value through profit and loss		63,303,435,640	267,910,223,253	74,690,289,689	275,138,285,021
01.3	c. Dividends, interests from financial assets at fair value through profit and loss		22,430,264,000	17,530,729,850	24,941,767,400	18,034,401,450
02	2. Income from investments held-to-maturity (HTM)		81,327,652,812	38,711,217,919	149,888,988,990	75,715,302,976
03	3. Income from loans and receivables		107,776,391,929	69,884,315,503	206,117,310,396	139,297,554,418
04	4. Income from financial assets available-for-sale (AFS)		48,270,368,197	11,409,929,130	57,848,793,978	17,524,491,528
05	5. Income from derivatives for risk prevention		-	-	-	-
06	6. Revenue from brokerage services		91,673,612,330	71,213,395,415	172,352,627,765	133,273,227,839
07	7. Revenue from securities underwriting and securities issuance agency services		200,000,000	-	9,200,000,000	174,791,725
08	8. Revenue from securities investment advisory services		4,781,950,827	1,446,922,950	5,573,939,207	3,782,151,373
09	9. Revenue from securities custody services		2,169,411,397	1,781,076,614	4,444,803,622	3,413,395,011
10	10. Revenue from financial advisory services		1,875,272,728	6,631,279,543	17,431,093,515	13,218,596,634
11	11. Other revenue		(19,583,287,530)	16,721,571,702	6,846,799,385	23,544,196,235
20	Total Operating revenue		574,319,612,805	520,330,759,217	937,985,091,726	747,848,690,606
	II. Operating expense					
21	1. Loss from financial assets at fair value through profit and loss (FVTPL)		20,358,389,478	80,318,163,748	68,626,436,010	111,305,607,774
21.1	a. Loss from sale of financial assets at fair value through profit and loss		2,203,256,978	36,063,690,281	3,154,475,937	36,545,126,734
21.2	b. Decrease in revaluation of financial assets at fair value through profit and loss		17,880,261,303	44,254,473,467	64,992,606,328	74,760,481,040
21.3	c. Expense for trading financial assets at fair value through profit and loss		274,871,197	-	479,353,745	-
22	2. Loss from investments held-to-maturity (HTM)		-	-	-	-
23	3. Loss from financial assets available-for-sale (AFS) and difference arising from reclassification of AFS		76,201,778	-	76,201,778	-
24	4. Provision for diminution in value of financial assets, provision for doubtful debts and lending expenses		25,996,707,859	-	32,516,040,981	-
25	5. Loss from derivatives for risk prevention		-	-	-	-
26	6. Expense for self-trading activities		21,064,380,127	15,452,574,086	39,727,507,779	30,045,711,843
27	7. Expense for brokerage services		81,165,783,312	60,377,323,669	144,794,603,689	109,537,903,235

Code	ITEMS	Notes	Q2		Accumulated	
			Current year	Prior year	Current year	Prior year
28	8. Expense for securities underwriting and securities issuance agency services		-	-	-	-
29	9. Expense for securities investment advisory services		3,391,591,956	698,168,088	3,889,324,892	1,344,424,095
30	10. Expense for custody services		3,538,098,244	2,619,880,839	6,854,796,259	5,015,056,763
31	11. Expense for financial advisory services		1,330,034,568	3,199,719,626	11,106,244,095	5,022,707,559
32	12. Other expenses		6,843,376,049	9,649,757,093	13,475,388,857	17,636,134,601
40	Total operating expense		163,764,563,371	172,315,587,149	321,066,544,340	279,907,545,870
	III. Revenue from financial activities					
41	1. Realized and unrealized gain from foreign exchange rate		62,207,844	738,622	62,347,941	738,950
42	2. Income, accrued income from dividend and deposit interest		51,569,262,232	66,190,767,803	61,143,876,989	101,687,231,766
43	3. Revenue from sale, liquidation of investments in subsidiaries, associates, and joint venture		-	77,879,924,950	-	186,287,061,620
44	4. Other revenue from investments		-	-	-	-
50	Total revenue from financial activities		51,631,470,076	144,071,431,375	61,206,224,930	287,975,032,336
	IV. Expense for financial activities					
51	1. Realized and unrealized loss from foreign exchange rate		416,781	815,891	3,332,587	10,660,785
52	2. Interest expense		66,607,004,854	26,100,041,222	123,716,590,027	46,604,815,874
53	3. Loss from sale, liquidation of investments in subsidiaries, associates, and joint venture		-	13,410,175	-	13,410,175
54	4. Provision expense for diminution in value of long-term financial investments		-	1,117,308,625	-	(12,713,419,054)
55	5. Other expenses in investments		-	-	-	-
60	Total expense for financial activities		66,607,421,635	27,231,575,913	123,719,922,614	33,915,467,780
61	V. Selling expense		-	-	-	-
62	VI. Administrative expense		35,600,558,005	28,472,981,703	60,602,615,119	54,185,101,855
70	VII. Net profit from operating activities		359,978,539,870	436,382,045,827	493,802,234,583	667,815,607,437
	VIII. Other income and expense					
71	1. Other income		418,592,130	3,373,137,335	992,166,510	3,758,046,444
72	2. Other expense		13,568,182	495,365	61,478,951	2,883,933
80	Total other income and expense		405,023,948	3,372,641,970	930,687,559	3,755,162,511
90	IX. Profit before tax		360,383,563,818	439,754,687,797	494,732,922,142	671,570,769,948
91	1. Realised profit		314,960,389,481	216,098,938,011	485,035,238,781	471,192,965,967
92	2. Unrealised profit		45,423,174,337	223,655,749,786	9,697,683,361	200,377,803,981
100	X. Corporate income tax		54,979,649,388	80,690,848,253	79,393,154,195	125,526,775,319
100.1	1. Current corporate income tax		60,424,657,868	19,834,665,639	86,252,794,487	64,113,583,505
100.2	2. Deferred corporate income tax		(5,445,008,480)	60,856,182,614	(6,859,640,292)	61,413,191,814
200	XI. Profit after tax		305,403,914,430	359,063,839,544	415,339,767,947	546,043,994,629
201	1. Profit after tax distributed to the owners					
202	2. Profit after tax used for Funds' appropriation					

Code	ITEMS	Notes	Q2		Accumulated	
			Current year	Prior year	Current year	Prior year
300	XII. Other comprehensive after-tax profit (loss)					
301	1. Profit/(loss) from revaluation of investments held-to-maturity		-	-	-	-
302	2. Profit/(loss) from revaluation of financial assets available-for-sale		304,983,000	9,422,612,153	83,067,690	8,012,231,684
303	3. Other comprehensive profit/(loss) received from investments in subsidiaries, associates, joint venture		-	-	-	-
304	4. Foreign exchange difference gain/(loss) arising from operation in foreign countries		-	-	-	-
305	5. Profit/(loss) from revaluation of fixed assets using fair value model		-	-	-	-
306	6. Other comprehensive profit/(loss)		-	-	-	-
400	Total comprehensive income		304,983,000	9,422,612,153	83,067,690	8,012,231,684
401	1. Comprehensive income distributed to owners					
402	2. Comprehensive income distributed to other subjects (if any)					
500	XIII. Earnings per share					
501	1. Basic earnings per share (VND/share)					
502	2. Diluted earnings per share (VND/share)					

Saigon Securities Incorporation

B02 - CTCK

INTERIM SEPARATE FINANCIAL POSITION STATEMENT As at 30 June 2016

(Circular No. 210/2014/TT-BTC dated 30 December 2014)

Currency: VND

Code	ITEMS	Notes	Ending balance	Opening balance
	ASSETS			
100	A. CURRENT ASSETS		11,601,509,515,124	10,735,367,501,864
110	I. Financial assets		11,355,641,247,796	10,494,559,840,994
111	1. Cash and cash equivalents		431,350,992,380	531,342,593,641
111.1	a. Cash		331,348,770,158	396,342,593,641
111.2	b. Cash equivalents		100,002,222,222	135,000,000,000
112	2. Financial assets at fair value through profit and loss (FVTPL)		1,066,044,548,716	1,087,067,623,216
113	3. Investments held to maturity (HTM)		4,705,437,411,039	4,430,939,875,859
114	4. Loans to customers		4,383,735,998,455	3,634,072,568,580
115	5. Financial assets available for sale (AFS)		601,723,098,391	786,798,937,549
116	6. Provision for diminution in value of financial assets and collaterals		(31,150,434,842)	
117	7. Receivables		187,743,306,670	7,697,462,470
117.1	a. Receivables from selling financial assets		167,893,482,170	2,531,847,570
117.2	b. Dividend, interest receivables from financial assets		19,849,824,500	5,165,614,900
117.3	- Dividend, interest receivables at due date		133,000,000	133,000,000
117.3.1	Including: Doubtful receivables		133,000,000	133,000,000
117.4	- Dividend, interest receivables before due date		19,716,824,500	5,032,614,900
118	8. Value added tax deductible		-	
119	9. Receivable from services provided by securities company		3,812,089,876	5,948,687,965
120	10. Internal receivables		-	
121	11. Receivables from securities trading errors		-	
122	12. Other receivables		11,740,955,210	14,123,203,674
129	13. Provision for diminution in value of receivables		(4,796,718,099)	(3,431,111,960)
130	II. Other current assets		245,868,267,328	240,807,660,870
131	1. Advance		8,698,498,716	3,206,708,337
132	2. Office supplies		-	-
133	3. Short-term prepaid expenses		6,775,212,629	7,885,053,704
134	4. Short-term pledges and deposits		606,420,000	747,725,000
135	5. Other current assets		229,788,135,983	228,968,173,829
136	6. Provision for diminution in value of other current assets		-	
200	B. NON-CURRENT ASSETS		1,659,491,635,148	1,162,290,596,474
210	I. Long-term financial assets		1,425,517,015,424	945,793,526,539
211	1. Long-term receivables		-	
212	2. Long-term investments		1,425,517,015,424	945,793,526,539
212.1	a. Investments held-to-maturity		521,317,888,889	50,000,000,000
212.2	b. Investments in subsidiaries		342,000,000,000	342,000,000,000
212.3	c. Investments in associates, joint venture		562,199,126,535	553,793,526,539
220	II. Fixed assets		133,231,225,630	131,608,781,084
221	1. Tangible fixed assets		14,625,295,631	14,766,289,761
222	a. Cost		88,798,997,116	86,216,760,436
223a	b. Accumulated depreciation		(74,173,701,485)	(71,450,470,675)

Code	ITEMS	Notes	Ending balance	Opening balance
223b	c. <i>Revaluation at fair value</i>			
224	2. Leased fixed assets			-
225	a. <i>Cost</i>			
226a	b. <i>Accumulated depreciation</i>			
226b	c. <i>Revaluation at fair value</i>			
227	3. Intangible fixed assets		118,605,929,999	116,842,491,323
228	a. <i>Cost</i>		170,699,180,666	166,908,879,366
229a	b. <i>Accumulated depreciation</i>		(52,093,250,667)	(50,066,388,043)
229b	c. <i>Revaluation at fair value</i>			
230	III. Property investments			-
231	1. <i>Cost</i>			
232a	2. <i>Accumulated depreciation</i>			
232b	3. <i>Revaluation at fair value</i>			
240	IV. Construction in progress		298,340,000	298,340,000
250	V. Other long-term assets		100,445,054,094	84,589,948,851
251	1. Long-term pledges and deposits		17,856,710,065	17,272,240,210
252	2. Long-term prepaid expenses		10,407,071,273	1,996,076,177
253	3. Deferred tax asset		52,181,272,756	45,321,632,464
254	4. Payment for Settlement Assistance Fund		20,000,000,000	20,000,000,000
255	5. Other long-term assets		-	
260	VI. Provision for diminution in value of long-term assets		-	
270	TOTAL ASSETS		13,261,001,150,272	11,897,658,098,338
300	C. LIABILITIES		6,396,143,283,404	5,388,424,913,133
310	I. Current liabilities		6,396,143,283,404	4,700,924,913,133
311	1. Short-term loans and borrowings; and financial leases		5,296,247,046,853	3,913,847,967,537
312	a. <i>Short-term loans and borrowings</i>		5,296,247,046,853	3,913,847,967,537
313	b. <i>Short-term financial leases</i>		-	
314	2. Short-term financial asset borrowings		-	
315	3. Short-term convertible bonds – Debt component		-	
316	4. Short-term issued bonds		687,000,000,000	
317	5. Borrowings from Settlement Assistance Fund		-	
318	6. Payables for securities trading activities		1,669,667,200	308,942,165,126
319	7. Payables for financial assets transaction errors		-	
320	8. Short-term payables to suppliers		280,228,652	3,007,381,612
321	9. Short-term advances from customers		5,131,833,168	4,893,483,331
322	10. Statutory obligations		73,354,311,268	84,794,532,019
323	11. Payables to employees		3,555,111,495	3,558,502,404
324	12. Employee benefits payables		10,044,447	7,053,847
325	13. Accrued expenses		43,508,603,010	71,228,108,976
326	14. Internal payables		-	
327	15. Short-term unearned income		40,196,362	
328	16. Short-term pledges and deposits of customers		-	
329	17. Other short-term payables		133,233,490,038	194,712,311,718
330	18. Provision for short-term payables		-	
331	19. Bonus and welfare fund		152,112,750,911	115,933,406,563
340	II. Non-current liabilities		-	687,500,000,000

Code	ITEMS	Notes	Ending balance	Opening balance
341	1. Long-term loans and borrowings; and financial leases		-	
342	<i>Long-term loans and borrowings</i>			
343	<i>Long-term financial leases</i>			
344	2. Long-term financial assets borrowings		-	
345	3. Long-term convertible bonds – debt component		-	
346	4. Long-term issued bonds			687,500,000,000
347	5. Long-term payables to suppliers		-	
348	6. Long-term advances from customers		-	
349	7. Long-term accrued expenses		-	
350	8. Long-term internal payables		-	
351	9. Long-term unearned income		-	
352	10. Long-term pledges and deposits of customers		-	
353	11. Other long-term payables		-	
354	12. Provision for long-term payables		-	
355	13. Investors protection fund		-	
356	14. Deferred tax payable		-	
357	15. Science and technology development fund		-	
400	D. OWNER'S EQUITY		6,864,857,866,868	6,509,233,185,205
410	I. Owner's equity		6,864,857,866,868	6,509,233,185,205
411	1. Shareholder's equity		4,829,511,262,100	4,829,752,217,600
411.1	a. <i>Charter capital</i>		4,800,636,840,000	4,800,636,840,000
411.1a	- <i>Statutory capital</i>		300,000,000,000	300,000,000,000
411.1b	- <i>Supplemental capital</i>		4,500,636,840,000	4,500,636,840,000
411.2	b. <i>Share premium</i>		29,285,860,000	29,285,860,000
411.3	c. <i>Convertible bonds options – Equity component</i>		-	
411.4	d. <i>Other capital</i>		-	
411.5	e. <i>Treasury shares</i>		(411,437,900)	(170,482,400)
412	2. Difference arising from revaluation of assets at fair value		(2,939,955,476)	(3,099,224,944)
413	3. Foreign exchange rate difference		-	
414	4. Charter capital reserves		308,560,605,893	265,965,319,999
415	5. Reserves for financial and operating risk		224,053,011,209	181,457,725,315
416	6. Other owners' funds		-	
417	7. Retained earnings		1,505,672,943,142	1,235,157,147,235
417.1	a. <i>Unrealised earnings</i>		1,682,190,156,561	1,421,372,044,016
417.2	b. <i>Realised earnings</i>		(176,517,213,419)	(186,214,896,781)
420	II. Other funds			
440	TOTAL LIABILITIES AND OWNER'S EQUITY		13,261,001,150,272	11,897,658,098,338
450	PROFITS DISTRIBUTED TO OWNERS			
451	Profits distributed to owners during current year			

OFF SEPARATE FINANCIAL POSITION STATEMENT ITEMS
As at 30 June 2016

Code	ITEMS	Notes	Ending balance	Opening balance
	A. ASSETS AND ASSETS MANAGED UNDER COMMITMENTS OF THE COMPANY		-	-
001	1. Leased fixed assets		-	-
002	2. Custody valuable papers		-	-
003	3. Collateral assets		-	-
004	4. Bad debts written off		-	-
005	5. Foreign currencies		-	-
006	6. Share in circulation		480,033,318	480,057,365
007	7. Treasury shares		30,366	6,319
008	8. Tradable listed/registered at Vietnam Securities Depository financial assets of the Company		35,937,428	32,748,913
008.1	a. Tradable financial assets free to transfer		5,058,505	14,403,247
008.2	b. Tradable financial assets limited to transfer		-	-
008.3	c. Pledged tradable financial assets		29,302,843	18,342,666
008.4	d. Blockaded financial assets		-	-
008.5	e. Financial assets awaiting for settlement		1,576,080	3,000
008.6	f. Financial assets awaiting for lending		-	-
008.7	g. Financial assets collateralized for borrowings		-	-
009	9. Financial assets registered but not yet traded at Vietnam Securities Depository of the Company		1,542,870	1,542,885
009.1	a. Financial assets registered but not yet traded at Vietnam Securities Depository free to transfer		1,442,870	1,442,885
009.2	b. Financial assets registered but not yet traded at Vietnam Securities Depository limited to transfer		100,000	100,000
009.3	c. Pledged financial assets registered but not yet traded at Vietnam Securities Depository		-	-
009.4	d. Blockaded financial assets registered but not yet traded at Vietnam Securities Depository		-	-
010	10. Financial assets awaiting for receipt of the Company		662	158,755
011	11. Financial assets used to correct trading errors of the Company		-	-
012	12. Financial assets unregistered at Vietnam Securities Depository of the Company		3,779,140	3,779,140
013	13. Entitled financial assets of the Company		6,721	176

Code	ITEMS	Notes	Ending balance	Opening balance
	B. ASSETS AND LIABILITIES ON ASSETS MANAGED UNDER COMMITMENTS OF INVESTORS		-	-
Securities Quantity				
021	1. Tradable listed/registered at Vietnam Securities Depository financial assets of investors		2,470,940,673	2,149,265,402
021.1	a. Tradable financial assets free to transfer		2,042,173,064	1,742,349,331
021.2	b. Tradable financial assets limited to transfer		86,843,987	74,857,105
021.3	c. Pledged tradable financial assets		305,497,056	274,176,432
021.4	d. Blockaded financial assets		-	-
021.5	e. Financial assets awaiting for settlement		36,426,566	57,882,534
021.6	a. Financial assets awaiting for lending		-	-
022	2. Financial assets registered but not yet traded at Vietnam Securities Depository of investors		97,153,349	101,347,832
022.1	a. Financial assets registered but not yet traded at Vietnam Securities Depository free to transfer		57,245,638	52,706,023
022.2	b. Financial assets registered but not yet traded at Vietnam Securities Depository limited to transfer		5,238,583	13,972,681
022.3	c. Pledged financial assets registered but not yet traded at Vietnam Securities Depository		34,669,128	34,669,128
022.4	d. Blockaded financial assets registered but not yet traded at Vietnam Securities Depository		-	-
023	3. Financial assets awaiting for receipt of investors		32,770,972	51,201,962
024.a	4. Financial assets used to correct trading errors of investors		-	-
024.b	5. Financial assets unregistered at Vietnam Securities Depository of investors		2,584,144	2,291,250
025	6. Entitled financial assets of investors		14,518,146	2,185,917
Vietnam Dong				
026	7. Investors' deposits		1,666,551,656,525	1,244,049,806,891
027	a. Investors' deposits for securities brokerage activities		1,639,929,475,056	1,241,656,219,531
027.1	- Investors' deposits for securities trading activities managed by the Company		1,629,458,252,656	1,239,463,316,338
027.2	- Investors deposits for securities trading activities managed by banks		10,471,222,400	2,192,903,193
028	b. Investors' deposits for securities trading synthetic			-
029	c. Investors' deposits for securities clearing and settlement activities		-	-

Code	ITEMS	Notes	Ending balance	Opening balance
029.1	- Domestic investors' deposits for securities clearing and settlement activities		-	-
029.2	- Foreign investors' deposits for securities clearing and settlement activities		-	-
030	d. Deposits of securities issuers		26,622,181,469	2,393,587,360
031	1. Payables to investors on securities trading deposits managed by the Company		1,629,458,252,656	1,239,463,316,338
031.1	a. Payables to domestic investors on securities trading deposits managed by the Company		1,524,337,210,042	1,136,419,483,706
031.2	b. Payables to foreign investors on securities trading deposits managed by the Company		105,121,042,614	103,043,832,632
032	2. Payables to investors on securities trading deposits managed by banks		10,471,222,400	2,192,903,193
032.1	a. Payables to domestic investors on securities trading deposits managed by banks		307,729,350	56,841,750
032.2	b. Payables to foreign investors on securities trading deposits managed by banks		10,163,493,050	2,136,061,443
033	1. Payables to securities issuers		25,629,997,160	81,800,000
034	2. Receivables/payables to investors relating to financial assets trading errors		-	-
035	3. Dividends, bonds' principal and interest payables		992,184,309	2,311,787,360

Saigon Securities Incorporation

B03b - CTCK

INTERIM SEPARATE CASH FLOW STATEMENT For the six-month period ended 30 June 2016

(Circular No. 210/2014/TT-BTC dated 30 December 2014)

Currency: VND

Code	ITEMS	Note	Accumulated	
			Current year	Prior year
	I. CASH FLOWS FROM OPERATING ACTIVITIES			
01	1. Profit before tax		494,732,922,142	671,570,769,948
02	2. Adjustments		(306,212,485,288)	(412,857,665,931)
03	Depreciation and amortization of fixed assets		4,871,093,434	6,373,161,702
04	Provision		1,365,606,139	(44,999,997)
05	(Gain)/loss from unrealised foreign exchange rate difference		-	-
06	Accrued, prepaid expenses		(1,862,345,245)	(1,877,914,679)
07	(Gain)/loss from investments (investments in subsidiaries, associates and joint venture)		(145,395,557,869)	(289,044,143,704)
08	Accrued interest		(288,907,871,774)	(174,856,449,123)
09	Other adjustments		123,716,590,027	46,592,679,870
	- Interest expenses		123,716,590,027	46,604,815,874
	- Others		-	(12,136,004)
10	3. Increase of non-monetary expenses		96,219,242,948	62,047,061,984
11	Loss from revaluation of financial assets FVTPL		64,992,606,328	74,760,481,038
12	Loss from diminution in value of HTM investments		-	-
13	Loss from diminution in value of loans and receivables		31,150,434,842	-
14	Loss from revaluation of AFS financial assets when reclassifying		76,201,778	-
15	Diminution in value of fixed assets and investment property		-	-
16	Provision expense for diminution in value of long-term investments		-	(12,713,419,054)
17	Other losses		-	-
18	4. Decrease of non-monetary revenue		(74,690,289,689)	(275,138,285,021)
19	Gain from revaluation of financial assets FVTPL		(74,690,289,689)	(275,138,285,021)
20	Gain from revaluation of financial assets AFS		-	-
21	Other gains		-	-
30	5. Operating income before changes in working capital		210,049,390,113	45,621,880,980
31	(Increase)/decrease of financial assets FVTPL		30,644,556,083	7,063,206,968
32	(Increase)/decrease of HTM investments		242,530,619,126	743,126,993,518
33	(Increase)/decrease of loan and receivables		(749,663,429,875)	(276,261,783,160)
34	(Increase)/decrease of financial assets AFS		174,068,550,408	(11,855,763,159)
35	(Increase)/decrease of receivables from sale of financial assets		(165,361,634,600)	(96,729,615,100)
36	(Increase)/decrease of dividend, interest receivables from financial assets		-	-
37	(Increase)/decrease of receivables from rendering of services		2,136,598,089	1,074,839,909
38	(Increase)/decrease of receivables from financial assets trading errors		-	-

Code	ITEMS	Note	Accumulated	
			Current year	Prior year
39	(Increase)/decrease of other receivables		1,098,647,959	(99,237,639,372)
40	(Increase)/decrease of other assets		(13,612,906,554)	(1,864,791,181)
41	Increase/(decrease) of short-term loans and borrowings		-	-
42	Increase/(decrease) of financial assets borrowings		-	-
43	Increase/(decrease) of convertible bonds – debt component		-	-
44	Increase/(decrease) of bonds issued		-	-
45	Increase/(decrease) of borrowings from Settlement Assistance Fund		-	-
46	Increase/(decrease) of payables to suppliers		(2,727,152,960)	(790,112,652)
47	Increase/(decrease) of employee benefits		2,990,600	1,405,119,615
48	Increase/(decrease) of statutory obligations		(2,710,433,473)	(10,661,867,781)
49	Increase/(decrease) of payables to employees		(3,390,909)	(2,484,629)
50	Increase/(decrease) of financial assets trading errors payables		-	-
51	Increase/(decrease) of other payables		(368,384,527,927)	144,926,279,246
52	Other proceeds from operating activities		299,145,651,392	186,130,918,466
	- Interests and dividends received		285,390,220,392	186,127,693,466
	- Others		13,755,431,000	3,225,000
53	Other payments for operating activities		(282,208,984,338)	(121,727,599,086)
	- Interest paid		(149,573,750,748)	(23,923,164,646)
	- CIT paid		(94,982,581,765)	(58,429,214,615)
	- Others		(37,652,651,825)	(39,375,219,825)
60	Net cash flows from operating activities		(624,995,456,866)	510,217,582,582
	II. CASH FLOWS FROM INVESTMENT ACTIVITIES			
61	1. Payment for purchases or construction of fixed assets and other long term assets		(5,209,937,885)	(2,225,467,879)
62	2. Proceeds from disposal of fixed assets and other long-term assets		-	12,136,004
63	3. Payment to investments in subsidiaries, associates, joint ventures and other investments		(2,168,505,600,000)	(1,783,171,215,710)
64	4. Proceed from disposal of investments in subsidiaries, associates, joint venture and other investments		1,175,100,000,000	325,965,947,303
65	5. Interest and dividend received from long-term financial investments		142,049,514,674	104,013,775,559
70	Net cash flows from investment activities		(856,566,023,211)	(1,355,404,824,723)
	III. CASH FLOWS FROM FINANCIAL ACTIVITIES			
71	1. Proceeds from share issuance, capital contribution, liquidation of treasury shares		-	52,517,860,000
72	2. Capital redemption and payments for purchase of treasury shares		(240,955,500)	(169,830,000)
73	3. Proceeds from borrowings		17,173,827,872,147	9,216,174,539,622
73.1	a. Proceeds from borrowings from Settlement Assistance Fund		-	-
73.2	b. Proceeds from other borrowings		17,173,827,872,147	9,216,174,539,622
74	4. Payments to borrowings		(15,791,928,792,831)	(8,465,906,491,274)

Code	ITEMS	Note	Accumulated	
			Current year	Prior year
74.1	a. Payments to borrowings from Settlement Assistance Fund		-	-
74.2	b. Payments to financial assets borrowings		-	-
74.3	c. Payments to other borrowings		(15,791,928,792,831)	(8,465,906,491,274)
75	5. Payments to financial lease		-	-
76	6. Dividend, profit distributed to owners		(88,245,000)	(42,020,000)
80	Net cash flows from financial activities		1,381,569,878,816	802,574,058,348
90	IV. NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENT DURING THE YEAR		(99,991,601,261)	(42,613,183,793)
101	V. CASH AND CASH EQUIVALENT AT THE BEGINNING OF THE YEAR		531,342,593,641	806,666,840,187
102	Deposits at banks at the beginning of the year:		-	-
102.1	a. Deposits at banks for business operations		396,342,593,641	506,666,840,187
102.2	b. Cash equivalent		135,000,000,000	300,000,000,000
102.3	c. Impact of foreign exchange rate difference		-	-
103	VI. CASH AND CASH EQUIVALENT AT THE END OF THE YEAR		431,350,992,380	764,053,656,394
104	Deposits at banks at the end of the year:		-	-
104.1	d. Deposits at banks for business operations		331,348,770,158	514,053,656,394
104.2	e. Cash equivalent		100,002,222,222	250,000,000,000
104.3	f. Impact of foreign exchange rate difference		-	-

Saigon Securities Incorporation

INTERIM SEPARATE CASH FLOWS RELATING TO BROKERAGE AND TRUSTED ACTIVITIES OF CUSTOMERS

For the six-month period ended 30 June 2016

Currency: VND

Code	ITEMS	Note	Accumulated	
			Current year	Prior year
	I. CASH FLOWS RELATING TO BROKERAGE AND TRUSTED ACTIVITIES OF CUSTOMERS			
01	Proceeds from sale of securities of customers under brokerage contracts		36,495,057,265,655	22,646,654,799,981
02	Payments to purchase of securities for customers under brokerage contracts		(39,980,782,754,487)	(25,129,225,152,964)
03	Proceeds from sale of trusted securities of customers		-	-
04	Payments to purchase of trusted securities for customers		-	-
05	Proceeds from borrowings from Settlement Assistance Fund		-	-
06	Payments to borrowings from Settlement Assistance Fund		-	-
07	Proceeds from securities settlement deposits of customers		4,714,012,340,563	3,316,404,438,944
08	Payments to securities settlement deposits of customers		-	-
09	Payments of custody expenses of customers		(5,787,810,086)	(7,184,645,778)
10	Proceeds from securities trading errors		-	-
11	Payments to securities trading errors		-	-
12	Proceeds from securities issuers		68,936,767,200	473,426,842,000
13	Payments to securities issuers		(868,933,959,211)	(806,785,499,714)
20	Net increase/(decrease) in cash and cash equivalent of customers during the year		422,501,849,634	493,290,782,469
	I. CASH AND CASH EQUIVALENT OF CUSTOMERS AT THE BEGINNING OF THE YEAR			
30			1,244,049,806,891	945,003,315,997
31	Deposits at banks at the beginning of the year:		1,244,049,806,891	945,003,315,997
32	Investors' deposits for securities trading activities managed by the Company		1,239,463,316,338	943,333,972,189
	<i>In which term deposits</i>			
33	Investors' deposits for securities trading activities managed by banks		2,192,903,193	810,026,688
	<i>In which term deposits</i>			
34	Investors' deposit for securities trading synthetic			
35	Investors' deposits for securities trading clearing and settlement			-
36	Deposits of issuers		2,393,587,360	859,317,120
	<i>In which term deposits</i>			
37	Cash equivalent			
38	Impact of foreign exchange rate			

Code	ITEMS	Note	Accumulated	
			Current year	Prior year
40	II. CASH AND CASH EQUIVALENT OF CUSTOMERS AT THE END OF THE YEAR		1,666,551,656,525	1,438,294,098,466
41	Deposits at banks at the beginning of the year:		1,666,551,656,525	1,438,294,098,466
42	Investors' deposits for securities trading activities managed by the Company <i>In which term deposits</i>		1,629,458,252,656	1,424,491,668,772
43	Investors' deposits for securities trading activities managed by banks <i>In which term deposits</i>		10,471,222,400	7,128,520,109
44	Investors' deposit for securities trading synthetic			
45	Investors' deposits for securities trading clearing and settlement			
46	Deposits of issuers <i>In which term deposits</i>		26,622,181,469	6,673,909,585
47	Cash equivalent			
48	Impact of foreign exchange rate			

Saigon Securities Incorporation

B02 - CTCK

(Circular No. 210/2014/TT-BTC dated 30 December 2014)

INTERIM SEPARATE STATEMENT OF CHANGES IN OWNERS' EQUITY

For the six-month period ended 30 June 2016

Currency: VND

[illegible]

ITEMS	Notes	Beginning balance		Increase/(decrease)				Ending balance	
		1-Jan-2016	1-Jan-2015	For the year 2016		For the year 2015		30-Jun-2016	30-Jun-2015
				Increase	Decrease	Increase	Decrease		
A	B	1	2	3	4	5	6	7	8
6. Purchase of Investments in subsidiaries									
7. Liquidation of investments in subsidiaries									
8. Purchasing treasury shares									
9. Liquidating treasury shares									
10. Changes in capital portion of non-controlling investors									
TOTAL		(3,099,224,944)	(13,462,919,042)	381,184,778	221,915,310	11,038,388,328	3,026,156,644	(2,939,955,476)	(5,450,687,358)